TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1/27(1) Fr 0112300-1634 In Re Application Of: Baerlocher JAN 1 1 2005 Application No. Filing Date Confirmation No. Customer No. Group Art Unit 10/659,629 September 9, 2003 Unknown 29159 5599 3713 Title: GAMING DEVICE HAVING A MULTIPLE ROUND GAME WHERE SUCCESS IN ONE ROUND DETERMINES THE PROBABILITIES OF SUCCESS IN ANOTHER ROUND Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: the statement specified in 37 CFR 1.97(e); OR \Box the fee set forth in 37 CFR 1.17(p).

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IN THE UNITED STATES PATENTI AND TRADEMARK OFFICE

Applicant:

Anthony J. Baerlocher

Appl. No.:

10/659,629

Conf. No.:

5599

Filed:

September 9, 2003

Title:

GAMING DEVICE HAVING A MULTIPLE ROUND GAME WHERE SUCCESS IN ONE ROUND DETERMINES THE PROBABILITIES OF

JAN 1 1 2005

SUCCESS IN ANOTHER ROUND

Art Unit:

3713

Examiner:

Unknown

Docket No.: 0112300-1634

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

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6,296,568 B1October 2, 2001Tracy6,302,790 B1October 16, 2001Bossard6,309,300 B1October 30, 2001Glavich6,315,664 B1November 13, 2001Baerlocher et al.6,319,124 B1November 20, 2001Baerlocher et al.6,336,860 B1January 8, 2002Webb6,346,043 B1February 12, 2002Colin et al.	6,270,412 B1	August 7, 2001	Crawford et al.
6,302,790 B1October 16, 2001Bossard6,309,300 B1October 30, 2001Glavich6,315,664 B1November 13, 2001Baerlocher et al.6,319,124 B1November 20, 2001Baerlocher et al.6,336,860 B1January 8, 2002Webb6,346,043 B1February 12, 2002Colin et al.	6,290,600 B1	September 18, 2001	Glasson
6,309,300 B1October 30, 2001Glavich6,315,664 B1November 13, 2001Baerlocher et al.6,319,124 B1November 20, 2001Baerlocher et al.6,336,860 B1January 8, 2002Webb6,346,043 B1February 12, 2002Colin et al.	6,296,568 B1	October 2, 2001	Tracy
6,315,664 B1November 13, 2001Baerlocher et al.6,319,124 B1November 20, 2001Baerlocher et al.6,336,860 B1January 8, 2002Webb6,346,043 B1February 12, 2002Colin et al.	6,302,790 B1	October 16, 2001	Bossard
6,319,124 B1November 20, 2001Baerlocher et al.6,336,860 B1January 8, 2002Webb6,346,043 B1February 12, 2002Colin et al.	6,309,300 B1	October 30, 2001	Glavich
6,336,860 B1 January 8, 2002 Webb 6,346,043 B1 February 12, 2002 Colin et al.	6,315,664 B1	November 13, 2001	Baerlocher et al.
6,346,043 B1 February 12, 2002 Colin et al.	6,319,124 B1	November 20, 2001	Baerlocher et al.
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6,506,118 B1	January 14, 2003	Baerlocher et al.
6,514,141 B1	February 4, 2003	Kaminkow et al.
6,569,015 B1	May 27, 2003	Baerlocher et al.
6,575,830 B2	June 10, 2003	Baerlocher et al.
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Document No.	<u>Date</u>	Country
EP 0 874 337 A1	October 28, 1998	Europe
EP 0 945 837 A2	September 29, 1999	Europe
EP 0 984 407 A3	August 31, 1999	Europe
EP 0 984 409 A2	March 8, 2000	Europe
GB 2 092 797	August 18, 1982	United Kingdom
GB 2 144 644	March 13, 1985	United Kingdom
WO 9814251	April 9, 1998	PCT

OTHER DOCUMENTS

Big Bank Piggy Bankin' Advertisement written by WMS, published prior to 2000.

Deep Pockets Advertisement, written by IGT, published in March 2002.

Double Up – Poker Game Description, written by IGT, published in 2000.

Elvis Brochure, written by IGT, published in 1999.

Family Feud website, printed from www.timvp.com.famfeud.html printed on December 17, 2004.

Family Feud Game website, printed from gamesmuseum.com on December 17, 2004.

Family Feud History website, printed from familyfeud.tv/history.html on December 17, 2004.

Fortune Cookie Advertisement, written by IGT, published in 2000.

The Frog Prince Advertisement, written by IGT, published in 2001.

Continuing Odyssey Article, written by Strictly Slots, published in February 2001.

Regis Cash Club Advertisement, written by IGT, published in January 2002.

Star Spangled Keno Gaming Machine Description, written by Silicon Gaming, published in 2001.

Top Dollar Brochure, written by IGT, published in 1998.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Y adm 17 h

Adam H. Masia Reg. No. 35,602 P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4284

Dated: January 7, 2005

INAN APPLICATION (Use segeral sheets if necessary)

₽TO Form 1449

Atty Docket No.	Application No.
112300-1634	10/659,629
Applicant	
• •	ny J. Baerlocher
••	y J. Baerlocher Group

TRABE		U.S. PATENT D	OCUMENTS			·
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,582,324	April 15, 1986	Koza et al.			
	4,695,053	September 22, 1987	Vazquez, Jr. et al.			
-	5,092,598	May 3, 1992	Kamille			
	5,205,555	April 27, 1993	Humano			
	5,342,047	August 30, 1994	Heidel et al.			
	5,407,200	April 18, 1995	Zalabak			
	5,449,173	September 12, 1995	Thomas et al.			
-	5,501,455	March 26, 1996	Hirata et al.			
	5,536,016	July 16, 1996	Thompson			
	5,647,798	July 15, 1997	Falciglia			
	5,664,998	September 9, 1997	Seelig et al.			
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	5,788,573	August 4, 1998	Baerlocher et al.			
	5,823,872	October 20, 1998	Prather et al.			
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	5,873,781	February 23, 1999	Keane			
	5,910,046	June 8, 1999	Wada et al.			
	5,927,714	July 27, 1999	Kaplan		_	
	5,947,820	September 7, 1999	Morro et al.			
	5,964,463	October 12, 1999	Moore, Jr.			
	5,967,894	October 19, 1999	Kinoshita et al.			
	5,976,015	November 2, 1999	Seelig et al.			
	5,980,384	November 9, 1999	Barrie			
	5,984,781	November 16, 1999	Sunaga			
-	5,996,997	December 1999	Kamille			
	5,997,400	December 7, 1999	Seelig et al.			

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Examine	er:		Date Considered:	
	5,997,400	December 7, 1999	Seelig et al.	
	5,996,997	December 1999	Kamille	
<u>.</u>	5,984,781	November 16, 1999	Sunaga	
	5,980,384	November 9, 1999	Barrie	

communication to applicant.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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PTO Form 1449

Atty Docket No.	Application No.
112300-1634	10/659,629
Applicant	
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Filing Date	Group
Sept. 9, 2003	3713

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Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
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	6,019,369	February 1, 2000	Nakaga et al.			
	Des. 421,068	February 22, 2000	Yamaguchi			
	6,033,307	March 7, 2000	Vancura			
	6,056,642	May 2, 2000	Bennett			
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	6,071,192	June 6, 2000	Weiss			
	6,089,976	July 18, 2000	Schneider et al.			
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	6,102,798	August 15, 2000	Bennett			
	6,117,007	September 12, 2000	Matsuyama et al.			
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	6,126,542	October 3, 2000	Fier			
	6,126,547	October 3, 2000	Ishimoto			
	6,135,884	October 24, 2000	Hedrick et al.			
	6,135,885	October 24, 2000	Lermusiaux			
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	6,155,925	December 5, 2000	Giobbi et al.			
	6,159,095	December 12, 2000	Frohm et al.			
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	6,159,097	December 12, 2000	Gura			
	6,159,098	December 12, 2000	Slomiany et al.			
	6,162,121	December 19, 2000	Morro et al.			
	6,164,652	December 26, 2000	Lauretta et al.			
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		U.S. PATENT D	OCUMENTS			
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	6,174,235 B1	January 16, 2001	Walker et al.			
	6,190,254 B1	February 20, 2001	Bennett			
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	6,231,442 B1	May 15, 2001	Mayeroff			
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	6,261,177 B1	July 17, 2001	Bennett			
	6,270,412 B1	August 7, 2001	Crawford et al.			
	6,290,600 B1	September 18, 2001	Glasson			
	6,296,568 B1	October 2, 2001	Tracy			
	6,302,790 B1	October 16, 2001	Bossard			
	6,309,300 B1	October 30, 2001	Glavich			
	6,315,664 B1	November 13, 2001	Baerlocher et al.			
	6,319,124 B1	November 20, 2001	Baerlocher et al.			
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	6,346,043 B1	February 12, 2002	Colin et al.			
	6,375,187 B1	April 23, 2002	Baerlocher			
	6,413,161 B1	July 2, 2002	Baerlocher et al.			
	6,439,995 B1	August 27, 2003	Hughs-Baird et al.			
	6,443,837 B1	September 3, 2002	Jaffe et al.			
	6,461,241 B1	October 8, 2002	Webb et al.			
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	6,494,785 B1	December 17, 2002	Gerrard et al.			
	6,506,118 B1	January 14, 2003	Baerlocher et al.			
	6,514,141 B1	February 4, 2003	Kaminkow et al.			
	6,569,015 B1	May 27, 2003	Baerlocher et al.			
	6,575,830 B2	June 10, 2003	Baerlocher et al.			

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	6,575,830 B2	June 10, 2003	Baerlocher et al.	
	6,569,015 B1	May 27, 2003	Baerlocher et al.	
	6,514,141 B1	February 4, 2003	Kaminkow et al.	
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INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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Applicant	
Anthony	J. Baerlocher
Filing Date	Group
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		U.S. PATENT D	OCUMENTS			
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
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	6,595,854 B2	July 22, 2003	Hughs-Baird et al.			
	6,599,192 B1	July 29, 2003	Baerlocher et al.			
	6,632,141 B1	October 14, 2003	Webb et al.			
	6,634,943 B1	October 21, 2003	Baerlocher			
	6,733,386 B2	May 11, 2004	Cuddy et al.			
	2002/0025844 A1	February 28, 2002	Casey et al.			
	2002/0045475 A1	April 18, 2002	Glavich et al.			
	2002/0049084 A1	April 25, 2002	Hughs-Baird et al.			
	2002/0072402 A1	June 13, 2002	Baerlocher			
	2002/0107068 A1	August 8, 2002	Baerlocher et al.			
	2002/0142822 A1	October 13, 2002	Baerlocher et al.			
	2002/0151350 A1	October 17, 2002	Baerlocher et al.			
	2002/0155883 A1	October 24, 2002	Baerlocher			
	2003/0013514 A1	January 16, 2003	Cregan et al.			
	2003/0040355 A1	February 27, 2003	Baerlocher			
	2003/0045344 A1	March 6, 2003	Webb et al.	ļ		
	2003/0045348 A1	March 6, 2003	Palmer et al.			
	2003/0060266 A1	March 23, 2003	Baerlocher			
	2003/0064795 A1	April 3, 2003	Baerlocher et al.			

		FOREIGN PATENT	DOCUMENTS				
Examiner's	Document	Publication				Trans	slation
Initials	Number	Date	Country	Class	Subclass	Yes	No
	EP 0 874 337 A1	October 28, 1998	Europe				
	EP 0 945 837 A2	September 29, 1999	Europe				
	EP 0 984 407 A3	August 31, 1999	Europe				
	EP 0 984 409 A2	March 8, 2000	Europe				
	GB 2 092 797	August 18, 1982	United Kingdom				
	GB 2 144 644	March 13, 1985	United Kingdom		-		
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FOREIGN PATENT DOCUMENTS							
Examiner's	Document	Publication				Trans	slation
Initials	Number	Date	Country	Class	Subclass	Yes	No
	WO 9814251	April 9, 1998	PCT				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
	Big Bank Piggy Bankin' Advertisement written by WMS, published prior to 2000.				
	Deep Pockets Advertisement, written by IGT, published in March 2002.				
	Double Up – Poker Game Description, written by IGT, published in 2000. Elvis Brochure, written by IGT, published in 1999.				
	Family Feud website, printed from www.timvp.com.famfeud.html printed on December 17, 2004.				
	Family Feud Game website, printed from gamesmuseum.com on December 17, 2004.				
	Family Feud History website, printed from familyfeud.tv/history.html on December 17, 2004.				
	Fortune Cookie Advertisement, written by IGT, published in 2000.				
	The Frog Prince Advertisement, written by IGT, published in 2001.				
	Continuing Odyssey Article, written by Strictly Slots, published in February 2001.				
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